

# Exploring the Functional Networks of the Resting Brain with Topological Data Analysis

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The dynamics of the brain at rest are not well understood, yet their dysregulation has been linked to psychiatric disease. Even in healthy subjects, everyday changes in arousal and mood can alter brain dynamics, but their exact impact is not clear. Current methods to reveal the intricate interplay between brain regions and networks rely on linear approaches and correlations that may miss the non-linear structure of these relationships. In this study we apply Mapper, a tool from the field of topological data analysis, that uses non-linear approaches to learn the underlying shape of the data. We explore the MyConnectome dataset, which consists of a complete metabolic profile and fMRI scans of a single subject across the span of an entire year. We construct graphs comparing the fed/caffeinated state, the fasted/uncaffeinated state, and a random graph model using SBM. We found that the fasted state exhibits increased participation coefficient across almost all resting state networks compared to fed state. Both real brain graphs showed higher participation coefficient and higher within-module connectivity across all resting state networks than the null model, demonstrating the brain's ability to optimize the balance between integration and segregation of function. The results from this study show that Mapper can reveal important anatomical and functional architecture of the human brain.

## Introduction

The brain is a multitasking machine; while it manages the effortless heartbeats and breaths that keep it alive, it is also able to yield intense focus on reading a paper, performing mathematical calculations, or driving a car. Neuroscience has explored the functional repertoire of the brain by pinpointing the anatomical correlates to hundreds of simple tasks and imaging the evolution of brain activity during cognitive demands. Yet, there is still no certainty on what the brain does when it is at rest, performing no task at all.

Scientists, philosophers, and the everyday

thinker posit that the mind wanders, daydreams, ruminates, reflects, and plans. This rich palette of cognitive behaviour has found some basis within neuroimaging. For example, functional MR imaging studies have observed correlations between distant brain regions in spontaneous activity during rest, deemed resting state functional connectivity (FC) (Glomb, Ponce-Alvarez, Gilson, Ritter, & Deco, 2017; Hansen, Battaglia, Spiegler, Deco, & Jirsa, 2015). Across a longer time interval of resting state activity, patterns of correlated networks and sub-networks form and dissolve in simulations and in empirical data (Deco, Jirsa, &

McIntosh, 2013). In fact, many of these canonical resting state networks (RSNs) have been found across many studies and have corresponded to critical brain functions such as movement, attention, and vision. Interestingly, these networks and connectivity between certain regions may be impaired in neuropsychiatric disorders such as Alzheimer’s disease and depression (Greicius, 2008). Even outside of psychiatric disorders, the physiological state of a subject can impact the functional connectivity of the resting brain. For example, a subject in a fasted state exhibited greater connectivity within the somatomotor and dorsal attention networks (Poldrack et al., 2015). Clearly, exploring the brain at rest could yield key insight into its function and dynamics.

Current methods to characterize resting state FC involve timeseries correlations between regions, sliding-window correlations, deconvolution, temporal Independent Component Analysis, and more. Many of these are linear methods that may fail to reveal non-linear relationships between brain regions and resting state networks. To explore the nuances of these interactions, a tool from the field of Topological Data Analysis called Mapper has been proposed. Mapper creates a combinatorial object from a high dimensional dataset that depicts the manifold of the original data. By using metrics from graph theory, clinically and biophysically relevant insight can be captured from a Mapper graph applied to resting state fMRI data. This approach has been previously used to predict individual task performance and capture cognitive task transitions at a faster time scale than other methods and (Saggar et al., 2018).

In this study, we used Mapper to explore the structure of RSNs in resting state fMRI data. We used 84 cleaned scan sessions, of which 31 were of the fed/caffeinated state and 40 were of the fasted/uncaffeinated state, from the dataset provided by MyConnectome, which consists of structural and functional MR scan sessions, metabolic profiles, mood questionnaires, and daily activity logs of the same subject for about a year. Specif-

ically, we analyzed the community structure, betweenness centrality, within-module degree, and participation coefficient of RSNs and compared them between fed and fasted states. We also created a null model using the Stochastic Block Model, which can recreate the community structure of the Mapper graphs. We hypothesize the fed and fasted graphs will contain more modular structure than the null model. We also hypothesize that the somatomotor and dorsal attention networks will be more central in the fasted graphs, similar to the results found in Poldrack et al. By exploring the structure of the brain’s functional networks in different physiological states, we can derive insight into the link between the network properties of the brain and behaviour and become better equipped to predict, diagnose, and treat neuropsychiatric disorders.

## Related Work

### Neuropsychiatric disorders exhibit network dysregulation

Neuropsychiatric and behavioural disorders are hypothesized to be linked to macroscale brain network dysregulation. Thus, many studies have applied graph theory metrics to functional connectivity to explore differences in network dynamics between healthy and patient populations. In the study by Xu et al. (Xu et al., 2016), the team investigated network abnormalities in borderline personality disorder (BPD), which involves symptoms such as affect dysregulation, impaired sense of self, and self-harm behaviours. To this end, they acquired resting state fMRI data from 20 patients with BPD and 10 healthy controls. They created networks for each subject by taking the correlations between each of 82 cortical and subcortical regions and thresholding to yield a graph density of 0.1. These graphs were analyzed using clustering coefficient, characteristic path length, small-worldness, local efficiency, global efficiency, and degree and correlated with clinical symptom scores. Finally, the study used network fea-

tures in a machine learning classifier to distinguish BPD patients from healthy controls. The team found that BPD patients exhibited increased size of largest connected component, amount of local cliques, clustering coefficient, local efficiency, and small-worldness. These network measures demonstrated high predictive power when implemented with a classifier.

This study is important in demonstrating the potential utility of analyzing network measures of brain activity to predict mental health clinical symptoms or diagnose neuropsychiatric disorders. Indeed, the study was able to infer behaviours characteristic of BPD from the significant network measure differences. For example, higher levels of local cliquishness at the amygdala and temporal poles may suggest a rapid rise in negative affect that is difficult to regulate in BPD patients. This type of insight is key to understand the mechanisms behind psychiatric illnesses. However, by averaging across individuals some individual variation that may be important for understanding their behavior is lost. Since the presentation of psychiatric disorders varies widely between individuals, it is worth investigating behavior at the individual level.

### **Physiological state can impact functional connectivity**

Intuitively, the brain's functional dynamics should not be consistent for the same subject throughout even a single day. Arousal, mood, and other mental states should alter the functional topology of the brain. This was investigated in a study by Poldrack et. al. (Poldrack et al., 2015) using the same MyConnectome dataset. The authors created networks out of the average functional connectivity matrices, which contains the correlations between brain regions, for the fed and fasted states, by binarizing at a 1% density threshold. They found that the somatomotor, dorsal attention, and primary visual networks had greater within-module and between-module connectivity, highlighting the importance of physiological states

when interrogating the network structure of the brain. While this study is important for demonstrating this fact, its use of Pearson correlation to create the functional connectivity matrix may miss some of the nonlinear interactions between brain regions. Additionally, linear correlations methods may introduce a lot of spurious correlations from remaining motion artifacts, noise, or higher-order relationships between parcels. We aim to elucidate these true links using the non-linear methods provided in Mapper.

### **Mapper can reveal complex topology of the brain**

Mapper has found success in exploring the functional architecture of the brain under task demands. In Sagar et. al. (Sagar et al., 2018), the investigators applied Mapper to multitask fMRI data, where subjects were required to perform working memory, math, and video tasks in the scanner, with periods of rest and instructions in between. They found that nodes with members associated with tasks with heavy cognitive load (nodes can have multiple labeled members, see Mapper subsection in Methods for explanation) were concentrated in the core of the graph and nodes associated with resting tasks were localized in the periphery. Additionally, subjects with a more modular graph, where communities are assigned by majority vote of the nodes' members, had better task performance than individuals with a less modular graph. The results from this study show that Mapper can reveal complex functional dynamics of the brain. The resultant graphs provide a robust visualization that can link brain dynamics with cognitive and behavioral properties of an individual. We extend this method to resting-state data, where we may be able to reveal important topological features and link them to behavior or cognitive state.

## Methods

### Data collection

The specific protocols are detailed on the MyConnectome website ([myconnectome.org/wp/](http://myconnectome.org/wp/)), but will be discussed here briefly. Resting state fMRI scans were performed three times a week (Monday, Tuesday, Thursday), using a multi-band EPI sequence (TR=1.16 ms, TE = 30 ms, flip angle = 63 degrees, voxel size = 2.4 mm X 2.4 mm X 2 mm, distance factor = 20%, 68 slices, oriented 30 degrees back from AC/PC, 96x96 matrix, 230 mm FOV, MB factor = 4, 10:00 scan length). Gradient echo field maps and spin echo field maps with AP and PA phase encoding were also collected. Behavioral/lifestyle measurements were also collected daily and are detailed in Table 1. Other measurements include sleep, exercise, amount of time outside, blood pressure, pulse, diet, blood sampling, RNA sequencing, and metabolics, though this list is non-exhaustive and the acquisition will not be detailed here. We will also note that on Tuesdays the subject was fasted due to a blood draw that same day, and other days the subject was not fasted. The fMRI scans were preprocessed using fmriprep, an open-source pipeline (Esteban et al., 2018). Timepoints with excessive head motion were removed from the dataset. A custom parcellation was applied to the subject's brain, which can be used to define anatomical brain regions for each parcel. Thus, each parcel is labeled with a resting state network that the brain region typically participates in.

### Mapper

Details of the Mapper algorithm are described in (Singh, Memoli, & Carlsson, 2007), but will be briefly discussed here. Essentially, a lens function is applied to the original high-dimensional data to create a low-dimensional representation of the data, called the cover. The datapoints in the cover are binned into overlapping windows. Then, the corresponding original high-dimensional datapoints are clustered based on the binning. These

clusters become the nodes of the resultant graph, and edges are defined between nodes when clusters share one or more original datapoints, which is possible due to the overlap. Put very simply, the structure of the resultant graph depicts the similarity of the original datapoints.

In this study, we used tSNE, or t-distributed stochastic neighbour embedding (van der Maaten & Hinton, 2008), for our lens function. tSNE was chosen because it preserves some of the local structure in the high-dimensional space, since it is a non-linear method. The similarity metric used was Euclidean distance. The perplexity parameter was varied to observe its changes on the resultant graphs. The community structure in the graph was mostly robust to perturbation of this parameter, so we chose a value of 50 as it had the largest giant component.

We used HDBSCAN (McInnes & Healy, 2017) as the clusterer. HDBSCAN is a hierarchical clustering algorithm that was used because it does not require the number of clusters to be specified.

Two other parameters required by Mapper are resolution, which defines the number of cubes/bins on the cover, and gain, which defines the amount of overlap between bins. Roughly speaking, resolution guides the sizes of the clusters, or the number of original points in the final nodes of the graph, and gain guides the connectivity of the graph. We performed a parameter sweep across resolution and gain and chose the combination of parameters that yielded the highest modularity in both fed and fasted states. The resolution was chosen to be 20, which will create 20 bins in each dimension in the lower-dimensional embedding. This will create 400 bins. The gain was chosen to be 8, which will create a 7/8 or 87.5% overlap between bins.

Mapper was applied to each scan session, generally represented by a 554 x 500 (number of parcels x TRs after masking) data matrix. The number of TRs varied between scans after timepoints with excessive motion were removed. The lens function mapped this to a 554 x 2 matrix. Thus, we have created Mapper graphs in the anatomical space,

though we are also able to transpose the data matrix and create a graph in the temporal space, which may provide additional unique insight into the dynamics of brain activity.

### Resting state network labels

One of the advantages of Mapper is the ability to annotate nodes with metadata corresponding to the members of each node. This allows us to visualize the localization of certain points of interest. For resting state networks, we can label each original datapoint with the network that its corresponding parcel belongs to. Parcels were labeled with 12 known RSNs, which are described in Table 1 (visual and frontoparietal can be subdivided into two networks each). The resultant graph contains a pie chart for each node, which are proportionally colored by the networks of the node's members.

Table 1  
Major resting state networks and their functions

Network	Functions	Citation
Default Mode	Emotional processing, self-referential mental activity, recollection	Raichle (2015)
Dorsal Attention	Covert spatial attention, saccade planning, visual working memory	Vossel et al. (2014)
Ventral Attention	Attention to unexpected stimuli	Vossel et al. (2014)
Fronto-parietal	Selection of stimuli for attention	Ptak (2012)
Cingulo-opercular	Tonic alertness	Sadaghiani & D'Esposito (2015)
Saliency	Selection of stimuli for attention, initiation of cognitive control, maintenance of tasks	Ham et al.(2013)
Somatomotor	Motor planning and execution, processing sensory input	Sánchez-Castañeda et al. (2017)
Visual	Visual perception, processing, attention	Heine et al. (2012)
Medial Parietal	Memory	Power et al. (2014)
Parietal Occipital	Visuomotor planning and control	Hutchison et al. (2015)

### Community structure

Communities are defined as groups of densely interconnected nodes with sparse connections between groups. We can assign nodes into communities and evaluate the "goodness" of the assign-

ments by calculating a measure known as modularity. Modularity,  $Q$ , is defined below, where  $A$  is the adjacency matrix of the graph,  $k$  is the node degree,  $m$  is the total number of edges, and  $\delta$  returns 1 if both node  $v$  and  $w$  are in the same community.

$$Q = \frac{1}{2m} \sum_{v,w \in N} [A_{v,w} - \frac{k_v k_w}{2m}] \delta(c_v, c_w)$$

We defined communities for each node by the RSN most of its members are labelled by. This allows us to observe how modular resting state networks tend to be.

We ran Louvain community detection on the Mapper graphs to see how well RSNs modularized on their own. In brief, each node is initially assigned to its own community and are reassigned to new communities if the change in modularity is greater than the current modularity. This is repeated until modularity is maximized. Then the communities are compressed into supernodes and the process repeats. The equation for the change in modularity is calculated by the expression below.

$$\Delta Q = \left[ \frac{\sum_{in} + 2k_{i,in}}{2m} - \left( \frac{\sum_{tot} + k_i}{2m} \right)^2 \right] - \left[ \frac{\sum_{in}}{2m} - \left( \frac{\sum_{tot}}{2m} \right)^2 - \left( \frac{k_i}{2m} \right)^2 \right]$$

### Betweenness centrality

Betweenness centrality of a node measures the likelihood of the shortest path between any two nodes in a graph passes through that node. To test whether certain resting state networks are important for bridging other networks, we calculated the betweenness centrality value for every node and averaged the values for each network. Betweenness centrality is calculated by the expression below.

$$b_i = \frac{1}{(n-1)(n-2)} \sum_{h,j \in N, h \neq j, j \neq i, h \neq i} \frac{\rho_{hj}^{(i)}}{\rho_{hj}}$$

The number of nodes in the graph is represented by  $n$ . The number of shortest paths between node  $h$  and node  $j$  is  $\rho_{hj}$  and  $\rho_{hj}^{(i)}$  is the number of shortest paths between  $h$  and  $j$  that include node  $i$ .

### Within-module degree

Within-module degree is the number of edges within a community, and was used to determine how likely a resting state network connected with itself. It was normalized by the number of nodes in that RSN community to account for an increased likelihood of within-module connections with a greater community size, and it is calculated with the expression below.

$$w_R = \frac{1}{c_R} \sum_{i,j \in N, i \neq j} A_{ij} \delta(C_i, C_j)$$

The normalized within-module degree of resting state network  $R$  of size  $c_R$  is calculated by summing all edge values  $A_{ij}$  between nodes  $i$  and  $j$  if they belong to the same community ( $\delta$  returns 1 if  $i$  and  $j$  are in the same community) and dividing that sum by the community size.

### Participation coefficient

The participation coefficient of a node is the extent to which the node is connected to other communities, bounded between 0 and 1. This is calculated below, where  $M$  is the set of all modules, and  $k_i(m)$  is the number of links between node  $i$  and all nodes in module  $m$ , and  $k_i$  is the degree of  $i$ .

$$y_i = 1 - \sum_{m \in M} \left( \frac{k_i(m)}{k_i} \right)^2$$

We calculated the average participation coefficient for each RSN to see which networks were more important for integrating information between networks.

### Stochastic block model

The Stochastic Block Model (SBM) (Abbe, 2017) is a random graph model with a predefined community structure, based on the user specified parameters that guide the size of each community and the likelihood of edges appearing between and within communities. Since our Mapper graphs exhibit significant community structure, we used this

as a null model to see which properties arise in the real graphs but do not arise in the community-controlled SBM. In other words, do the interactions between and within RSNs arise solely because of the community structure, or are there more complex behaviors present?

The parameters for the SBM were estimated from the scan data. For each scan, a Mapper graph was created and partitioned into communities based on the RSN labels. The sizes of these communities were used as the community sizes in the SBM. The probabilities were estimated by calculating the number of edges between a node in community  $X$  and any node in community  $Y$ , then dividing by the total number of possible edges, or essentially the number of nodes in community  $Y$ . This is averaged for all nodes in community  $A$  to get the probability of an edge existing between  $A$  and  $B$ . This is calculated by the expression below, where  $N_X$  is the number of nodes in community  $X$ ,  $N_Y$  is the number of nodes in community  $Y$ ,  $A_{ij}$  is 1 if there exists an edge between nodes  $i$  and  $j$ , and  $\delta$  returns 1 if node  $i$  is in community  $X$  and node  $j$  is in community  $Y$ .

$$p_{X,Y} = \frac{1}{N_X N_Y} \sum_{i,j \in N} A_{ij} \delta(c_i, c_j)$$

The result is a symmetric matrix of probabilities between communities.

### ROI adjacency matrix

The nodes of the Mapper graph are the clusters of the original datapoints (see subsection Mapper). Each node can contain one or more parcel/region-of-interest (ROI) and one ROI can be in multiple nodes due to the bin overlap. We can convert the adjacency matrix of the graph, which is in the cluster x cluster space, to the ROI x ROI space by defining an edge of value 1 in the ROI adjacency matrix (RAM) when two ROIs share the same node or their nodes are connected in the original graph. These RAMs are used to explore the properties of the RSN community structure in the graph, the

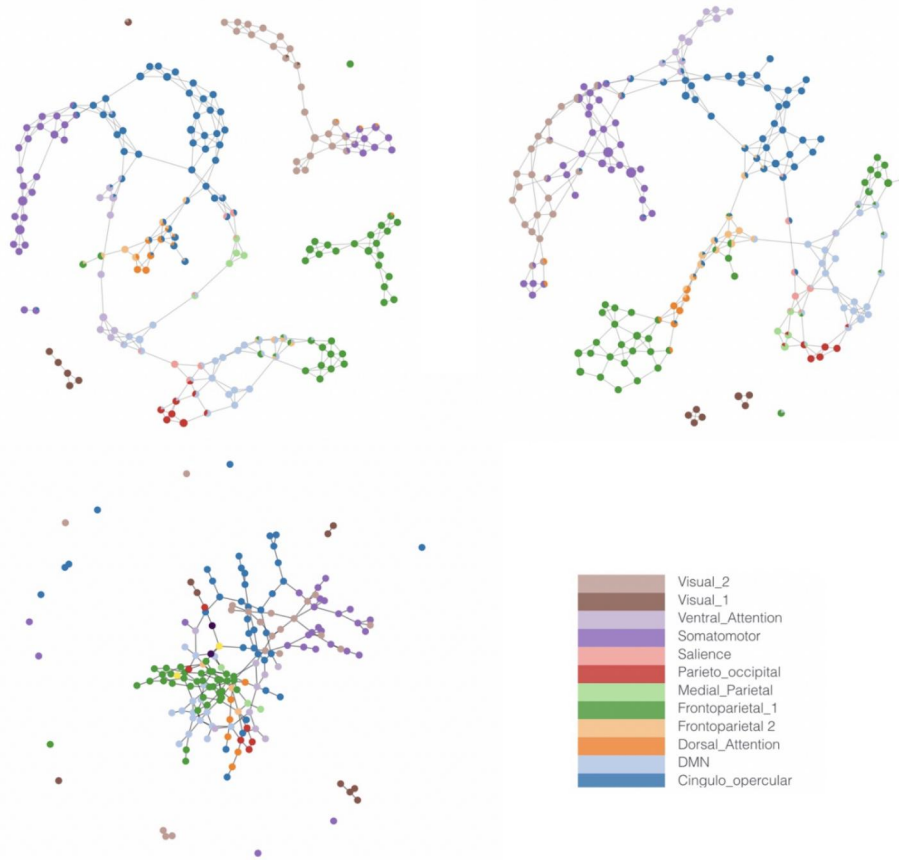


Figure 1. Mapper graphs created by running once on all scans concatenated for fasted state (top-left), fed state (top-right), and the null SBM (bottom-left).

connections between communities, and compare with the SBM and the correlations between ROIs.

## Results

We first generated a Mapper graph across all fed or fasted scans by concatenating all the ROI by time matrices in the time dimension and running the Mapper algorithm one. This generated the graphs seen in Figure 1. We created one scan-wide Mapper as a representative example for each state to look for immediate differences in structure. In fasted graphs, some networks tended to remain disconnected, such as the primary fronto-parietal network and somatomotor network. However, overall the structure between fed and fasted was largely similar. Both are highly modular and show that certain resting state networks tend to connect to the same neighbors. For example, cingulo-opercular and somatomotor networks are always connected,

most likely due to the codependent nature of their functions; movement and sensory perception typically requires tonic alertness, especially for new stimuli. The secondary visual network seems to also preferentially connect to the somatomotor network, highlighting the codependency of vision and movement. Other networks play more integral roles in the graph. The ventral attention and medial parietal networks in the fasted graph play a bridge role between two highly connected segments, while in the fed graph the secondary frontoparietal and dorsal attention networks play this role, while the ventral attention network is pushed to the periphery. In both graphs, the default mode network seems to integrate information from many different RSNs. The null model shows very different structure from the real brain graphs. RSN communities seem to be more interconnected, and there doesn't seem to be a tendency for certain net-

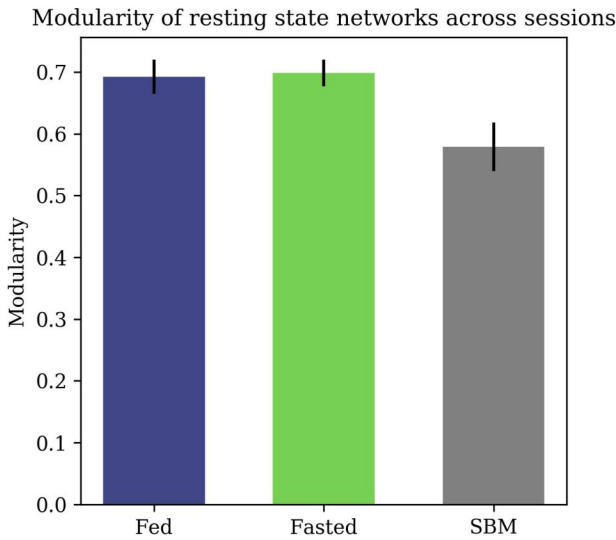


Figure 2. Comparison of graph modularity by using RSN labels as community assignments. Real brain graphs exhibit a higher modularity than the random graph model, but the fed and fasted states show similar modularity.

works to connect with other preferred networks. The structure within each community also seems to be lacking and uniform across communities. In fact, the SBM exhibits significantly less modularity than the real brain graphs, as shown in Figure 2. This demonstrates the brain’s ability to efficiently segregate function, even at a network level where these resting-state networks may span the entire brain and overlap one another. Interestingly, the brain can be modular geographically, but also in the way information is communicated. Notably, the modularity of the fed and fasted states are no significantly different. This makes intuitive sense; the brain will likely not reorganize its modular structure with simply fluctuations in arousal as it may be fundamental to its efficiency. While these are important structural differences, calculating network measures of each graph will help us explore these interpretations.

To assess the structural differences between fed, fasted, and null graphs, as well as any possible differences in how brain networks communicate, we constructed a Mapper graph for each scan individually. We then calculated betweenness centrality, participation coefficient, within-module degree, and modularity for each graph, and averaged

all the sessions for each state. The results are shown in Figure 3. Although the random graph seemed more interconnected, it had a significantly lower participation coefficient on average across all networks (Figure 3A). Interestingly, the fasted graphs had high participation coefficients and the fed graphs fell in between. Both fed and fasted states also had higher within-module connectivity than the null model, which can be visibly seen in Figure 1. This is corroborated by Figure 4, when both fed and fasted states show greater structure within an RSN when compared to the null model, where the edges within a network seem random. Betweenness was similar among fed, fasted, and SBM graphs. Interestingly, none of the RSNs had significantly higher betweenness than any other RSN, even though some may seem to play that role in the Mapper graphs in Figure 1. This may mean that the brain does not strongly rely on a single RSN to communicate information.

Lastly, we explored the adjacency matrix of the graphs in ROI space, averaged across scans. Seemingly, there is no difference in structure between fed and fasted states. Even though the functional connectivity matrix implies strong correlative structure between networks, the fed and fasted RAMs do not seem to show strong connections between networks. This seems to contradict Figure 3A, where the fasted state exhibited a high participation coefficient, yet this property is not seen in its RAM. It is interesting to seem that the SBM RAM shows almost identical structure to the fed and fasted RAMs, yet its Mapper graph show striking differences.

## Discussion

Previous studies have shown that, in the fasted state, the somatomotor, dorsal attention, and primary visual networks show greater within network and between network connectivity (Poldrack et al., 2015). Our results show that this is not necessarily the case. The differences between the fed and fasted states have been less about specific networks and have been more of general reconfigura-



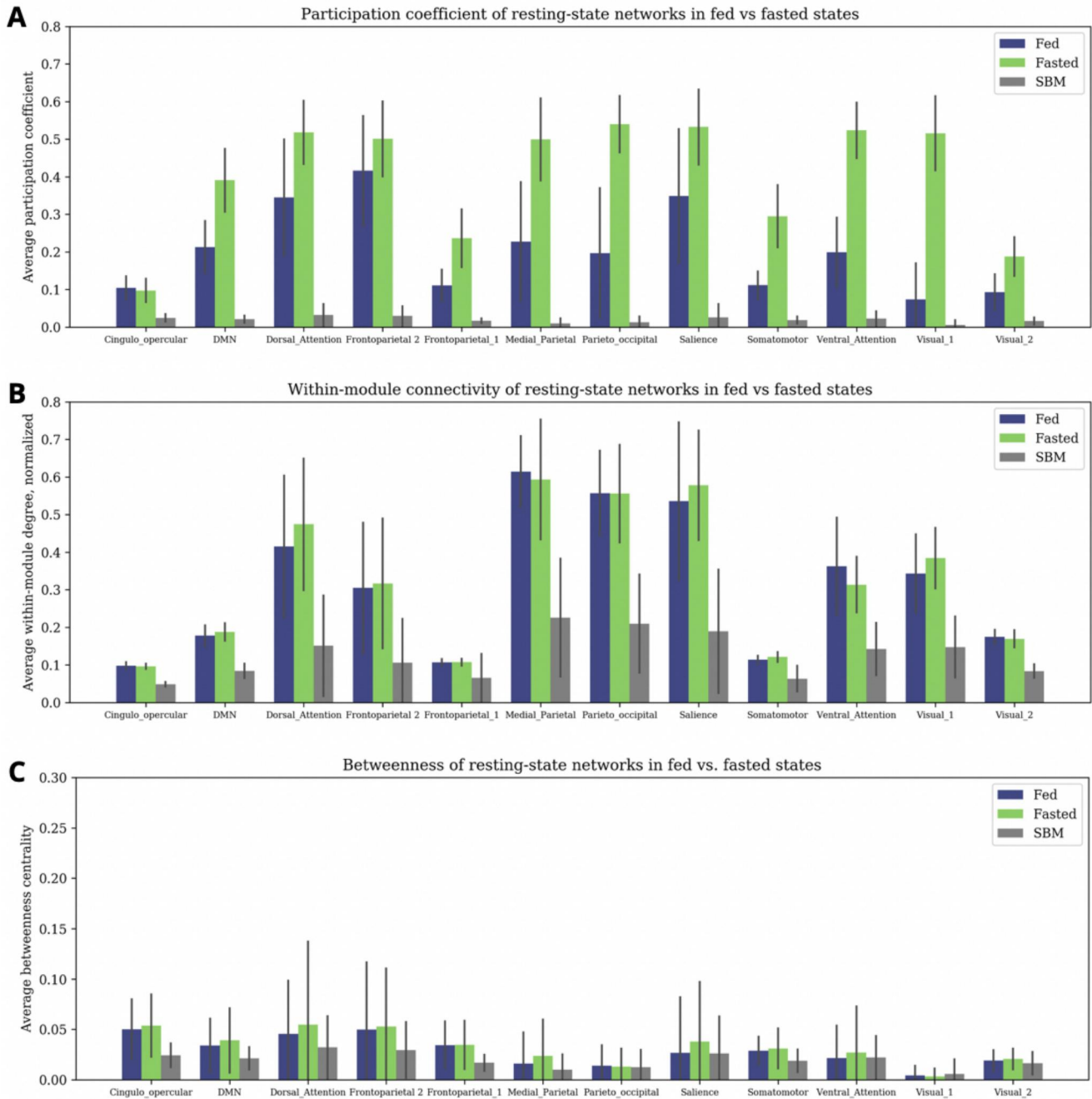


Figure 3. Comparison of participation coefficient (A), within-module connectivity (B), and betweenness centrality (C) among the three types of graphs. Values were averaged across all nodes within an RSN within a scan, and then averaged across all scans. Within module degree was normalized by community size to remove the possibility that larger communities had a higher chance of created edges within itself.

tions across networks. The increased participation coefficient in fasted graphs may indicate elevated levels of arousal in the brain due to hunger. Oddly enough, the subject was usually caffeinated in the fed state, so perhaps this difference is some upregulation of drive, motivation, focus, or attention that

is necessary when the body needs to find nutrition.

For any network, whether it be the brain or a social network, efficient flow of information requires a delicate balance between integration and segregation. Segregation allows specialization of nodes that can perform certain tasks more effec-

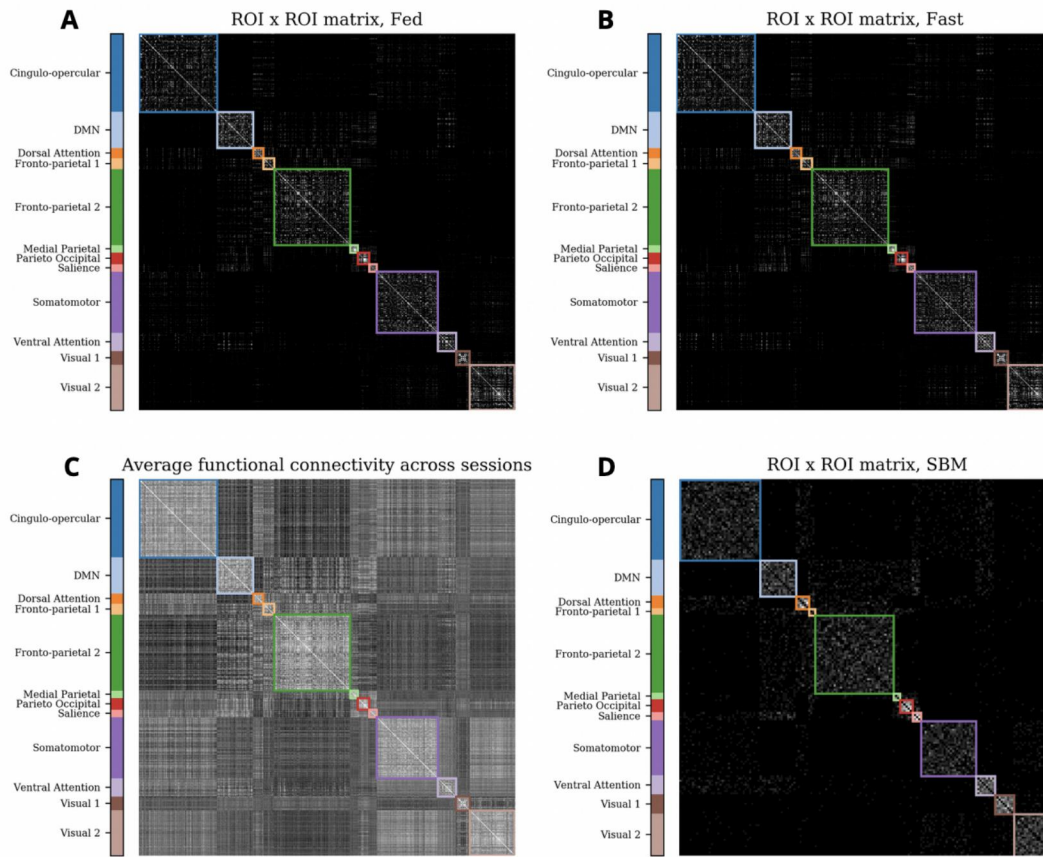


Figure 4. ROI x ROI adjacency matrix (ROI = region-of-interest), where each row or column is a subject-specific parcellated brain region. A matrix element is 1 if the ROIs corresponding to the row and column are found in the same node or are in two connected nodes. The matrix was averaged across all scans  $\hat{\approx}$  31 for fed (A), 40 for fasted (B), all 84 for SBM (D). These are compared to the average correlation matrix of the ROIs's time series across all scans, showing that the Mapper graph can embody these relationships.

tively, yet too much segregation makes it difficult for specialized modules to communicate. Integration can unify communication, but too much can be detrimental for the network to handle diverse tasks or diverse locations. In the brain networks literature, there is a notion that the brain has optimized both integration and segregation, allowing it to process information so effectively. The results presented in this study demonstrate two opposing physiological states that both show robust segregation, with a higher modularity and within-module connectivity than the random graph, and simultaneously show strong integration, with a higher participation coefficient than the random graph. These results support the assertion that the brain balances integration and segregation.

This study demonstrates the first application of

Mapper and topological data analysis to resting state fMRI data. The ability of Mapper to capture important anatomical and functional features of the brain while corroborating similar findings in the field demonstrate its effectiveness as a tool to capture important structure and relationships in high-dimensional data. Certain parameters can be further optimized using persistent homology to capture the most important topological features of the data. Additionally, Mapper can be applied to multiple subjects to see if the network relationships found in this study hold true across participants. Most importantly, Mapper can be used to explore the dynamics of brain network activity, which involves transposing the data matrix and projecting in the temporal space. This can reveal interesting temporal structure of RSNs that current linear

methods cannot capture. We hope to continue using these tools to explore the mechanisms underlying brain dynamics and behavior so that we may be able to optimize therapy and diagnostics for neuropsychiatric disorders.

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